

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No. 5988-038-27

First Inventor or Application Identifier TOMOKI NAGAI

Title NOVEL CARBAZOLE DERIVATIVE AND CHEMICALLY AMPLIFIED RADIATION-SENSITIVE RESIN COMPOSITION



APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

- 1. Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
 - Applicant claims small entity status.
- 2. Specification Total Pages **91**
- 3. Drawing(s) (35 U.S.C. 113) Total Sheets **3**
- 4. Oath or Declaration Total Pages
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 16 completed)
- 5. Incorporation By Reference (usable if box 4B is checked)
The entire disclosure of the prior application, from which a copy or the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

ACCOMPANYING DOCUMENTS

6. Assignment Papers (cover sheet & document(s))
7. 37 C.F.R. §3.73(b) Statement Power of Attorney
(when there is an assignee)
8. English Translation Document *(if applicable)*
9. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
10. Preliminary Amendment
11. White Advance Serial No. Postcard
12. Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
13. Request for Priority
14. List of Inventors' Names and Addresses
15. Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

Continuation Divisional Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner: Group Art Unit:

17. Amend the specification by inserting before the first line the sentence:

This application is a Continuation Division Continuation-in-part (CIP)
of application Serial No. Filed on

This application claims priority of provisional application Serial No. Filed

18. CORRESPONDENCE ADDRESS

Supervisor, Patent Prosecution Services
PIPER MARBURY RUDNICK & WOLFE LLP
1200 Nineteenth Street, N.W.
Washington, D.C. 20036-2412
Telephone No. (202) 861-3900
Facsimile No. (202) 223-2085

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	3/15/02
Name		Registration No.	202-861-3900

FEE TRANSMITTAL

Docket No.

5988-038-27

Serial No.

NEW APPLICATION

Filing Date

HEREWITH

Inventor(s)

TOMOKI NAGAI, ET AL.

Group Art Unit

UNASSIGNED

TOTAL AMOUNT OF PAYMENT

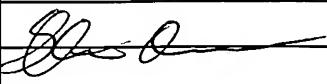
\$870.00

Examiner

UNASSIGNED

Jc957 U.S. PTO
10/08/2013 03/02

<p>1. <input type="checkbox"/> Applicant claims small entity status.</p> <p><input checked="" type="checkbox"/> Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442.</p> <p><input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.</p>								FEE CALCULATION (continued)							
								3. ADDITIONAL FEES							
				Large Entity		Small Entity		Fee Description							
				Fee Code	Fee (\$)	Fee Code	Fee (\$)					Fee Paid			
FEE CALCULATION								105	130	205	65	Surcharge-late filing fee or oath	130.00		
1. BASIC FILING FEE								127	50	227	25	Surcharge-late provisional filing fee or cover sheet			
Large Entity		Small Entity		Fee Description				139	130	139	130	Non-English specification			
Fee Code	Fee (\$)	Fee Code	Fee (\$)					Fee Paid	147	2520	147	2520	Ex parte reexam. fee		
101	740	201	370	Utility filing fee				740.00	115	110	215	55	1-mo. ext. of time		
106	330	206	165	Design filing fee					116	400	216	200	2-mo. ext. of time		
107	510	207	255	Plant filing fee					117	920	217	460	3-mo. ext. of time		
108	740	208	370	Reissue filing fee					118	1440	218	720	4-mo. ext. of time		
114	160	214	80	Provisional filing fee					128	1960	228	980	5-mo. ext. of time		
SUBTOTAL (1)								\$740.00	119	320	219	160	Notice of Appeal		
2. EXTRA CLAIM FEES								120	320	220	160	Appeal Brief			
tot. claims	18	-	20*	=	0	x	\$18	=	0	121	280	221	140	Request for Oral Hearing	
ind. claims	3	-	3*	=	0	x	\$84	=	0	142	1280	242	640	Utility/Reissue Issue Fee	
<input type="checkbox"/>	Multiple Dependent Claims								\$280	=	143	460	243	230	Design Issue Fee
Large Entity		Small Entity		Fee Description				144	620	244	310	Plant Issue Fee			
Fee Code	Fee (\$)	Fee Code	Fee (\$)					122	130	122	130	Petitions to the Commissioner			
103	18	203	9	Claims in excess of 20				126	180	126	180	IDS Submission			
102	84	202	42	Independent claims in excess of 3				581	40	581	40	Assignment			
104	280	204	140	Multiple dependent claim, if not paid				179	740	279	370	For Filing RCE			
109	84	209	42	*Reissue independent claims over original patent				169	900	169	900	Expedited Design			
110	18	210	9	*Reissue claims in excess of 20 and over original patent				OTHER (indicate below):							
SUBTOTAL (2)								\$0.00							
* or number previously paid, if greater; For Reissues, see above								SUBTOTAL (3)				\$130.00			

Name	Steven B. Kelber			Registration No.			30,073	
Signature				Date	3/15/10		Telephone	202-861-3900
Name				Registration No.				

DOCKET NO.: 5988-038-27

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



IN RE APPLICATION OF: TOMOKI NAGAI, ET AL.

SERIAL NO: NEW APPLICATION

FILED: HEREWITH

FOR: NOVEL CARBAZOLE DERIVATIVE AND CHEMICALLY AMPLIFIED RADIATION-SENSITIVE RESIN COMPOSITION

REQUEST FOR PRIORITY

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

- Full benefit of the filing date of U.S. Application Serial Number [US App No], filed [US App Dt], is claimed pursuant to the provisions of 35 U.S.C. §120.
- Full benefit of the filing date of U.S. Provisional Application Serial Number , filed , is claimed pursuant to the provisions of 35 U.S.C. §119(e).
- Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of 35 U.S.C. §119, as noted below.

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

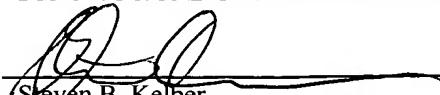
<u>COUNTRY</u>	<u>APPLICATION NUMBER</u>	<u>MONTH/DAY/YEAR</u>
JAPAN	61922/2001	MARCH 6, 2001

Certified copies of the corresponding Convention Application(s)

- are submitted herewith
- will be submitted prior to payment of the Final Fee
- were filed in prior application Serial No. filed
- were submitted to the International Bureau in PCT Application Number
- (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and
(B) Application Serial No.(s)
 are submitted herewith
 will be submitted prior to payment of the Final Fee

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP



Steven B. Kelber
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DOCKET NO. 5988-038-27

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: TOMOKI NAGAI, ET AL.

SERIAL NO.: NEW APPLICATION

FILING DATE: HEREWITH

FOR: NOVEL CARBAZOLE DERIVATIVE AND CHEMICALLY AMPLIFIED
RADIATION-SENSITIVE RESIN COMPOSITION

LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

1. Tomoki NAGAI
303, 12-26, 1, Shirasuka,
Yokkaichi, Mie 510-0018, Japan
2. Jun NUMATA
14-303, 1, Morigayama-cho,
Yokkaichi, Mie 510-0957, Japan
3. Shirou KUSUMOTO
800 West 38th Street #2309,
Austin, Texas 78705, USA
4. Eiichi KOBAYASHI
14-301, 1, Morigayama-cho,
Yokkaichi, Mie 510-0957, Japan

A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP



Steven B. Kelber
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Attorney of Record

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